

**ISLAMIC STOCKBROKING WINDOW
 INDIVIDUAL TRADING ACCOUNT APPLICATION FORM
 PRODUCT DETAILS**

(IOPA001B(23June16))

PART ONE - ACCOUNT DETAILS

NAME OF APPLICANT:

(As per NRIC / Passport / Authority Card / Registration Document)

NEW NRIC NO.: - - OLD NRIC / PASSPORT / AUTHORITY CARD / REG. NO.:

CLIENT CODE:
 (existing client only)

PART TWO - ACCOUNT TYPE

Account Description: (please select one)

Islamic Trading - Cash Upfront Account
 Other, please specify: _____

PART THREE - ONLINE TRADING FACILITY

I would like to apply for online trading: Yes No

If Yes, please provide your preferred first and second choice user ID preferences (alphanumeric, min 6 characters, maximum 10 characters)

User ID first preference:

User ID second preference:

PART FOUR - DECLARATION BY APPLICANT

I hereby solemnly, voluntarily, conscientiously, irrevocably and unconditionally declare (conscientiously believing the same to be true), that I have read and fully understood the full contents of the following:

1. I acknowledge and agree to abide by all the terms in the Islamic Stockbroking Window Trading Account Application "Client Details" Form, Islamic Stockbroking Window Trading Account Application "Product Details" Form (collectively referred to as "Application Forms") and Terms and Conditions for the Operation of Securities Trading Account(s) in Islamic Stockbroking Window in MSSB.
2. The particulars and information given in the Application Forms are true and correct and I have not withheld any materials facts or information from Malacca Securities Sdn Bhd ("MSSB"). I undertake to furnish MSSB with such additional particulars as MSSB may require from time to time. I further authorize MSSB to verify the information given by me from any source (including third parties) and in such manner as MSSB shall deem fit. I undertake to inform MSSB in writing should there be any change(s) to my particulars declared in the Application Forms.
3. I hereby agree that all payments (including but not limited to sales proceeds, contra gain and credits) due to me shall be auto credited to my trust account maintained with MSSB.
4. I have read and understood the risks in investing in structured warrants.
5. I shall adhere to the Best Practices for Shariah Investing which is available at www.mplusonline.com.my

PLEASE PRINT THE COMPLETED FORM AND PHYSICALLY SIGN WITHIN THE BOX



Digital signature is not accepted

Signature of Applicant

Applicant Name: _____

Date: - -

PART FIVE - TO BE COMPLETED BY DEALER'S REPRESENTATIVE

How do I know the applicant: _____ No. of years I have known the applicant: years

Existing trading limit with other broker: RM Name of broker: _____

Applicant knowledge of trading rules: Poor Average Above Average Excellent

Cash / Shares to be deposited: Cash - RM Shares - Please provide counters: _____

Intra Day Trade: Yes No

Proposed Trading Limit Recommended: Trading Account: (J) RM

Other relevant information to justify the recommendation:

Declaration by Dealer's Representative

I hereby:

1. Submit this application to open trading account(s) with Malacca Securities Sdn Bhd and request you to approve this application and allow me to act as the Dealer's Representative for the said applicant.
2. Confirm that the information given by me above is true and I have not withheld any information which might prejudice this application ; where the Applicant is unable to read and understand the provisions (including the rights, covenants, undertakings and obligations of the Applicant) as contained in the application form, I have distinctly, clearly and audibly translated the same for the Applicant into the language / dialect which is the language / dialect understood by the Applicant, and the Applicant has appeared to clearly understand the same.
3. Undertake to indemnify Malacca Securities Sdn Bhd against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which Malacca Securities Sdn Bhd may incur or suffer in relation to or arising from Malacca Securities Sdn Bhd opening the account of this Applicant and the subsequent operation thereof.

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Digital signature is not accepted

Signature of Dealer's Representative

Dealer Name: _____

DR Code: _____

Date: - -

FOR INTERNAL USE ONLY - DOCUMENT CHECKLIST

NRIC / Passport Enclosed: Yes No Existing Account : Yes No

Correspondence Address: Yes No If YES, Account No: _____

Signature Verified: Yes No

ML/TF Client Profiling: Low Risk Medium Risk High Risk

Verified By: _____ Date: _____

Customer ID

CDS ACCOUNT:
 - -

Intermediate A/c Code

DR Code:

Account Type:

Country Code:

Intraday:

Data Entry by : _____

Checked by : _____

Date: _____

RECOMMENDED BY CREDIT CONTROL

	<i>Remarks</i>
Credit Search Done: <input type="checkbox"/> Yes <input type="checkbox"/> No	_____
Bankruptcy / Summons: <input type="checkbox"/> Yes <input type="checkbox"/> No	_____
Other Related Accounts(s): <input type="checkbox"/> Yes <input type="checkbox"/> No	<u>Account(s)/Relationship:</u> _____

BMSB Defaulter (Reference Only)
 Recommended Limit: (J) RM -

Justification:

Recommended By: _____ *Operations* _____ *Date*

Approved Limit: (J) RM -

Approved By : _____ *Approving Authority* _____ *Date*

Trading Limit Keyed-in By : _____ *Operations* _____ *Date*

Reviewed By: _____ *Compliance Officer* _____ *Date*